

Disclosure of holding of specified securities and holding of specified securities in dematerialized form

[Pursuant to Reg. 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

1	Name of the Listed Entity	Nalwa Sons Investments Limited
2	Scrip Code	NSE: NSIL / BSE: 532256
3	Shareholding pattern filed under Regulation 31(1)(a) / Reg.31(1)(b) / Reg.31(1)(c)	31(1)(b)
	a. If under regulation 31(1)(b) then indicate the report for the quarter ending	30th September, 2016
	b. If under regulation 31(1)(c) then indicate date of allotment / extinguishment	N.A.
4	Declaration	*YES / *NO
	Whether the listed entity has issued any partly paid up shares ?	No
	Whether the listed entity has issued any convertible securities or warrants ?	No
	Whether the listed entity has any shares against which depository receipts are issued ?	No
	Whether the listed entity has any shares in locked in ?	No
	Whether the shares held by promoters are pledged or otherwise encumbered ?	No
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>		
5	The tabular format for disclosure of holding of specified securities is as follows:	

Table I - Summary statement holding of specified securities

Category	Category of shareholder	No. of share holders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of voting Rights held in each class of securities			No. of shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital)	No. of locked in shares		No. of shares pledged		No. of equity shares held in dematerialised form	
								No. of voting rights	Total as a % of (A+B+C)				No. (a)	as a % of total shares held (b)	No. (a)	as a % of total shares held (b)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = IV+V+VI	As a % of (A+B+C)	Class - x	Class - y	Total		(x)	(XI) = (VII)+(X) as a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & promoter group	33	28,56,410	-	-	28,56,410	55.61	28,56,410	-	28,56,410	55.61	-	55.61	-	-	-	-	28,56,410
(B)	Public	22,198	22,79,753	-	-	22,79,753	44.39	22,79,753	-	22,79,753	44.39	-	44.39	-	-	N.A.	N.A.	20,71,622
(C)	Non promoter- non public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(C1)	Shares underlying DRs	-	-	-	-	-	N.A.	-	-	-	N.A.	-	-	-	-	N.A.	N.A.	-
(C2)	Shares held by Employee trust	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
TOTAL		22,231	51,36,163	-	-	51,36,163	100.00	51,36,163	-	51,36,163	100	-	100.00	-	-	-	-	49,28,032

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category and name of the shareholder	PAN	No. of share holders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. of shares held	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of voting Rights held in each class of securities			No. of shares underlying outstanding convertible securities (including warrants)	Share holding as a % assuming full conversion of convertible securities (as a % of diluted share capital)	No. of locked in shares		No. of shares pledged		No. of equity shares held in dematerialised form	
									Class - x	Class - y	Total			No. (a)	as a % of total shares held (b)	No. (a)	as a % of total shares held (b)		
(i)	(ii)		(iii)	(iv)	(v)	(vi)	(vii) = IV+V+VI	As a % of (A+B+C)	Class - x	Class - y	Total		(x)	(xi) = (vii+(x) as a % of (A+B+C2)	(xii)	(xiii)	(xiv)		
1	Indian																		
(a)	Individuals / HUF		20	35041	-	-	35041	0.68	35041	-	35041	0.68	-	0.68	-	-	-	-	35,041
	SAROJ BHARTIA	AAIPB7610F		2	-	-	2	0.00	2	-	2	0.00	-	0.00	-	-	-	-	2
	URMILA BHUWALKA	AEHPB6446C		48	-	-	48	0.00	48	-	48	0.00	-	0.00	-	-	-	-	48
	SEEMA JAJODIA	ACJPJ6249H		49	-	-	49	0.00	49	-	49	0.00	-	0.00	-	-	-	-	49
	URVI JINDAL	AGFPJ3837R		631	-	-	631	0.01	631	-	631	0.01	-	0.01	-	-	-	-	631
	TARINI JINDAL	AEEPJ0735P		651	-	-	651	0.01	651	-	651	0.01	-	0.01	-	-	-	-	651
	TANVI SHETE	AGCPJ0233G		652	-	-	652	0.01	652	-	652	0.01	-	0.01	-	-	-	-	652
	TRIPTI JINDAL	ACXPJ7608J		662	-	-	662	0.01	662	-	662	0.01	-	0.01	-	-	-	-	662
	NAVEEN JINDAL	AAJPJ2123N		692	-	-	692	0.01	692	-	692	0.01	-	0.01	-	-	-	-	692
	R K JINDAL & SONS HUF .	AACHR8177F		756	-	-	756	0.01	756	-	756	0.01	-	0.01	-	-	-	-	756
	ARTI JINDAL	ACYPJ7504Q		781	-	-	781	0.02	781	-	781	0.02	-	0.02	-	-	-	-	781
	PARTH JINDAL	AJJPJ6232H		1497	-	-	1497	0.03	1497	-	1497	0.03	-	0.03	-	-	-	-	1,497
	PRITHVI RAJ JINDAL	AAJPJ2120R		1698	-	-	1698	0.03	1698	-	1698	0.03	-	0.03	-	-	-	-	1,698
	S K JINDAL AND SONS HUF .	AAPHS1426R		1809	-	-	1809	0.04	1809	-	1809	0.04	-	0.04	-	-	-	-	1,809
	SMINU JINDAL	AAGPJ1586N		2382	-	-	2382	0.05	2382	-	2382	0.05	-	0.05	-	-	-	-	2,382
	SANGITA JINDAL	ADDPJ5071E		2547	-	-	2547	0.05	2547	-	2547	0.05	-	0.05	-	-	-	-	2,547
	SAJJAN JINDAL	AADPJ5110D		2592	-	-	2592	0.05	2592	-	2592	0.05	-	0.05	-	-	-	-	2,592
	P R JINDAL HUF .	AAEHP7501H		3163	-	-	3163	0.06	3163	-	3163	0.06	-	0.06	-	-	-	-	3,163
	DEEPIKA JINDAL	AAF PJ2847R		3760	-	-	3760	0.07	3760	-	3760	0.07	-	0.07	-	-	-	-	3,760
	SAVITRI DEVI JINDAL	ACPPJ0130M		4815	-	-	4815	0.09	4815	-	4815	0.09	-	0.09	-	-	-	-	4,815
	NAVEEN JINDAL HUF	AAAHJ3831J		5854	-	-	5854	0.11	5854	-	5854	0.11	-	0.11	-	-	-	-	5,854
(b)	Central Govt / State Govt		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions / Banks		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any other (Bodies Corporates)		10	28,11,889	-	-	28,11,889	54.75	28,11,889	-	28,11,889	54.75	-	54.75	-	-	-	-	28,11,889
	GOSWAMIS CREDITS & INVESTMENTS LTD	AAACG2173R		12721	-	-	12,721	0.25	12721	-	12721	0.25	-	0.25	-	-	-	-	12,721
	JSW HOLDINGS LIMITED	AABCJ1531F		25014	-	-	25,014	0.49	25014	-	25014	0.49	-	0.49	-	-	-	-	25,014

	Category and name of the shareholder	PAN	No. of share holders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. of shares held	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of voting Rights held in each class of securities			No. of shares underlying outstanding convertible securities (including warrants)	Share holding as a % assuming full conversion of convertible securities (as a % of diluted share capital)	No. of locked in shares		No. of shares pledged		No. of equity shares held in dematerialised form	
									No. of voting rights	Total as a % of (A+B+C)				No. (a)	as a % of total shares held (b)	No. (a)	as a % of total shares held (b)		
(I)	(II)		(III)	(IV)	(V)	(VI)	(VII) = IV+V+VI	As a % of (A+B+C)	Class - x	Class - y	Total	(x)	(XI) = (VII+(X) as a % of (A+B+C2)	(XII)	(XIII)	(XIV)			
	JSL LIMITED	AACCJ1451F		120615	-	-	1,20,615	2.35	120615	-	120615	2.35	-	2.35	-	-	-	-	1,20,615
	VINAMRA CONSULTANCY PVT LTD	AAECV5876E		121244	-	-	1,21,244	2.36	121244	-	121244	2.36	-	2.36	-	-	-	-	1,21,244
	GLEBE TRADING PRIVATE LIMITED	AAECG0208K		122306	-	-	1,22,306	2.38	122306	-	122306	2.38	-	2.38	-	-	-	-	1,22,306
	JINDAL COAL PRIVATE LIMITED	AABCJ3739R		124446	-	-	1,24,446	2.42	124446	-	124446	2.42	-	2.42	-	-	-	-	1,24,446
	VIRTUOUS TRADECORP PRIVATE LIMITED	AAECV7088E		571385	-	-	5,71,385	11.12	571385	-	571385	11.12	-	11.12	-	-	-	-	5,71,385
	DANTA ENTERPRISES PRIVATE LIMITED	AAECD9628G		571386	-	-	5,71,386	11.12	571386	-	571386	11.12	-	11.12	-	-	-	-	5,71,386
	OPJ TRADING PRIVATE LIMITED	AABCO9632N		571386	-	-	5,71,386	11.12	571386	-	571386	11.12	-	11.12	-	-	-	-	5,71,386
	SAHYOG TRADCORP PRIVATE LIMITED	AAUCS2300N		571386	-	-	5,71,386	11.12	571386	-	571386	11.12	-	11.12	-	-	-	-	5,71,386
	Total (A)(1)		30	28,46,930	-	-	28,46,930	55.43	28,46,930	-	28,46,930	55.43	-	55.43	-	-	-	-	28,46,930
2	Foreign																		
(a)	Individuals (Non resident individuals / Foreign Individuals)		3	9,480	-	-	9,480	0.18	9,480	-	9,480	0.18	-	0.18					9,480
	RATAN JINDAL	AASPJ0852D		4024	-	-	4,024	0.08	4024	-	4,024	0.08	-	0.08	-	-	-	-	4,024
	SARIKA JHUNJHNUWALA	AJTPJ7274N		4250	-	-	4,250	0.08	4250	-	4,250	0.08	-	0.08	-	-	-	-	4,250
	ABHYUDAY JINDAL	AIEPJ2431J		1206	-	-	1,206	0.02	1206	-	1,206	0.02	-	0.02	-	-	-	-	1,206
(b)	Government		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign portfolio Investor		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f)	Any other		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total (A)(2)		3	9,480	-	-	9,480	0.00	9,480	-	9,480	0.00	-	0.00	-	-	-	-	9,480
	Total shareholding of promoter and promoter group (A) = (A)(1)+(A)(2)		33	28,56,410	-	-	28,56,410	55.61	28,56,410	-	28,56,410	55.61	-	55.61	-	-	-	-	28,56,410

Table III - Statement showing shareholding pattern of public shareholder

	Category and name of the shareholder	PAN	No. of share holders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. of shares held	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of voting Rights held in each class of securities			No. of shares underlying outstanding convertible securities (including warrants)	Share holding as a % assuming full conversion of convertible securities (as a % of diluted share capital)	No. of locked in shares		No. of shares pledged		No. of equity shares held in dematerialised form	
									No. of voting rights	Total as a % of (A+B+C)				No. (a)	as a % of total shares held (b)	No. (a)	as a % of total shares held (b)		
(I)	(II)		(III)	(IV)	(V)	(VI)	(VII) = IV+V+VI	As a % of (A+B+C)	Class - x	Class - y	Total		(x)	(XI) = (VII)+(X) as a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Institutions																		
(a)	Mutual Funds		15	1,420	-	-	1,420	0.03	1,420	-	1,420	0.03	-	0.03	-	-	N.A.	N.A.	523
(b)	Venture Capital Fund		-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(c)	Alternate Investment Fund		-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(d)	Foreign venture capital investor		-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(e)	Foreign portfolio investor		6	2,16,200	-	-	2,16,200	4.21	2,16,200	-	2,16,200	4.21	-	4.21	-	-	N.A.	N.A.	2,16,200
	ACACIA PARTNERS LP	AALFA7272K		79,236	-	-	79,236	1.54	79,236	-	79,236	1.54	-	1.54	-	-	N.A.	N.A.	79,236
	ACACIA INSTITUTIONAL PARTNERS LP	AALFA7287Q		89,082	-	-	89,082	1.73	89,082	-	89,082	1.73	-	1.73	-	-	N.A.	N.A.	89,082
(f)	Financial Institutions / Banks		20	1,350	-	-	1,350	0.03	1,350	-	1,350	0.03	-	0.03	-	-	N.A.	N.A.	193
(g)	Insurance Companies		2	28,126	-	-	28,126	0.55	28,126	-	28,126	0.55	-	0.55	-	-	N.A.	N.A.	28,126
(h)	Provident funds / pension funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(i)	Any other (Foreign Institutional Investors)		4	701	-	-	701	0.01	701	-	701	0.01	-	0.01	-	-	N.A.	N.A.	-
	Sub Total (B)(1)		47	2,47,797	-	-	2,47,797	4.82	2,47,797	-	2,47,797	4.82	-	4.82	-	-	N.A.	N.A.	2,45,042
2	Central government / state government / President of Indis		-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
	Sub Total (B)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Non Institutions																		
(a)	Individual shareholders holding nominal share capital upto Rs.2.00 lac		20,595	5,69,912	-	-	5,69,912	11.10	5,69,912	-	5,69,912	11.10	-	11.10	-	-	N.A.	N.A.	4,03,076
	Individual shareholders holding nominal share capital in excess of Rs.2.00 lac		-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(b)	NBFC registered with RBI		-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(c)	Employees Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(d)	Overseas Depositories		-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(e)	Any other		1,556	14,62,044	-	-	14,62,044	28.47	14,62,044	-	14,62,044	28.47	-	28.47	-	-	N.A.	N.A.	14,23,504
	Non resident Indians		1,013	12,11,594	-	-	12,11,594	23.59	12,11,594	-	12,11,594	23.59	-	23.59	-	-	N.A.	N.A.	11,77,730
	RICKY ISHWARDAS KIRPALANI	AABPK3275E		4,00,581	-	-	4,00,581	7.80	4,00,581	-	4,00,581	7.80	-	7.80	-	-	N.A.	N.A.	4,00,581
	ANIL ARYA	AAOPA9461F		2,49,285	-	-	2,49,285	4.85	2,49,285	-	2,49,285	4.85	-	4.85	-	-	N.A.	N.A.	2,49,285
	KESWANI HARESH	AAXPH7866C		2,88,566	-	-	2,88,566	5.62	2,88,566	-	2,88,566	5.62	-	5.62	-	-	N.A.	N.A.	2,88,566

(I)	(II)	PAN	No. of share holders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. of shares held	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of voting Rights held in each calss of securities			No. of shares underlying outstanding convertible securities (including warrants)	Share holding as a % assuming full conversion of convertible securities (as a % of diluted share capital	No. of locked in shares		No. of shares pledged		No. of equity shares held in dematerialised form	
									No. of voting rights	Total as a % of (A+B+C)				No. (a)	as a % of total shares held (b)	No. (a)	as a % of total shares held (b)		
			(III)	(IV)	(V)	(VI)	(VII) = IV+V+VI	As a % of (A+B+C)	Class - x	Class - y	Total		(x)	(XI) = (VII+(X) as a % of (A+B+C2)	(XII)		(XIII)		(XIV)
	VAISHALLI ARYA <u>Corporate bodies (Resident)</u>	AFLPA6400L	300	1,93,316 2,29,279	-	-	1,93,316 2,29,279	3.76 4.46	1,93,316 2,29,279	-	1,93,316 2,29,279	3.76 4.46	-	3.76 4.46	-	-	N.A.	N.A.	1,93,316 2,24,887
	IL AND FS TRUST CO LTD	AAACI6832K		1,93,277	-	-	1,93,277	3.76	1,93,277	-	1,93,277	3.76	-	3.76	-	-	N.A.	N.A.	1,93,277
	Trusts		1	193	-	-	193	0.00	193	-	193	0.00	-	0.00	-	-	N.A.	N.A.	193
	Foreign national		1	227	-	-	227	0.00	227	-	227	0.00	-	0.00	-	-	N.A.	N.A.	227
	Overseas Corporate bodies		2	97	-	-	97	0.00	97	-	97	0.00	-	0.00	-	-	N.A.	N.A.	-
	Clearing Members		13	1,705	-	-	1,705	0.03	1,705	-	1,705	0.03	-	0.03	-	-	N.A.	N.A.	1,705
	Hindu Undivided families		226	18,949	-	-	18,949	0.37	18,949	-	18,949	0.37	-	0.37	-	-	N.A.	N.A.	18,762
	Sub Total (B)(3)		22,151	20,31,956	-	-	20,31,956	39.56	20,31,956	-	20,31,956	39.56	-	39.56	-	-	N.A.	N.A.	18,26,580
	Total public shareholding (B) = (B)(1)+(B)(2)+(B)(3)		22,198	22,79,753	-	-	22,79,753	44.39	22,79,753	-	22,79,753	44.39	-	44.39	-	-	N.A.	N.A.	20,71,622

No. of unclaimed shares	3661
No. of shareholders	229

Sr. No.	Details of shareholders acting as persons in concert including their shareholding (No and %)	Details of Shares held	
		No. of shares	As a % of total share capital
(I)	(II)	(III)	(IV)
1	RICKY ISHWARDAS KIRPLANI (alongwith PAC)		
	Ricky Ishwardas Kirplani	4,00,581	7.80
	Keswani Haresh (As a PAC with Ricky Ishwardas Kirplani)	2,88,566	5.62
	Johnny Kirplani (As a PAC with Ricky Ishwardas Kirplani)	2,100	0.04
	Alisha Kirplani (As a PAC with Ricky Ishwardas Kirplani)	6,384	0.12
	Pooja Vijay Assomull (As a PAC with Ricky Ishwardas Kirplani)	3,200	0.06
	IL AND FS Trust Co. Ltd.(As a PAC with Ricky Ishwardas Kirplani) -	1,93,277	3.76
	Total	8,94,108	17.41
2	ANIL ARYA (alongwith PAC)		
	Anil Arya	2,49,285	4.85
	Vaishalli Arya (As a PAC with Anil Arya)	1,93,316	3.76
	Total	4,42,601	8.62
	TOTAL	13,36,709	26.03

